



In place of FORM PTO-1449 (Modified)

Serial No.: 10/033,075
Applicants: Richard E. Smalley et al.
Filing Date: December 28, 2001
Group: 1754
Atty. Docket No.: 11321-P012USD12

**LIST OF PATENTS AND PUBLICATIONS FOR
APPLICANTS' INFORMATION DISCLOSURE
STATEMENT**

Reference Designation

U.S. PATENT DOCUMENTS

Examiner Initial	Document Number	Date	Name	Class	Subclass	Filing Date if Appropriate
SLD AAA	5,698,175	12/16/1997	Hiura et al.	423	447.1	
ABA						
ACA						

FOREIGN PATENT DOCUMENTS

Examiner Initial	Document Number	Date	Country	Class	Subclass	Translation Yes No
ADA						

OTHER ART (Including Author, Title, Date, Pertinent Pages, Etc.)

Examiner
Initial

SLD AEA

AJAYAN et al., "Opening carbon nanotubes with oxygen and implications for filing," *Nature*, Volume 362, pp. 522-525 (April 8, 1993).

Examiner:

Neil Jackson

Date Considered:

3/19/04

EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

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SLA ABA	EP 1 176 234 A2	12/05/1993	European			

OTHER ART (Including Author, Title, Date, Pertinent Pages, Etc.)

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